

Morgan, Lewis & Bockius LLP  
1717 Main Street, Suite 3200  
Dallas, TX 75201-7347  
TEL: 214.466.4000  
FAX: 214.466.4001  
www.morganlewis.com

**Morgan Lewis**  
C O U N S E L O R S   A T   L A W

RECEIVED  
CENTRAL FAX CENTER

JUN 01 2007

**SEND TO**

Name: U.S. Patent and Trademark Office Firm: U.S. Patent Office  
FAX #: (571) 273-8300 Telephone #:

**FROM**

Name: Lekha Gopalakrishnan Floor:  
Operator Sending: Telephone # 214.466.4116  
FAX #: 214.466.4001 Date Sent June 1, 2007 Number of Pages: 25  
(including cover page)

**FAX MESSAGE**

THE INFORMATION CONTAINED IN THIS FAX MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE NAMED RECIPIENT(S). THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

**COMMENTS**

Application No. 09/381,243  
Attached hereto are:  
Response to Non-Final Office Action;  
Petition for Extension Time; and,  
Transmittal Letter

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
CENTRAL FAX CENTER

JUN 01 2007

*In re* application of:

Charles R. Haskins

Serial No.: 09/381,243

Filing Date: January 21, 2000

For: Method and System for Establishing,  
Monitoring, and Reserving a Guaranteed  
Minimum Value Return on Select  
Investments

Examiner: HARBECK, Timothy M.

Art Unit: 3692

Confirmation No.: 3704

CERTIFICATE OF FACSIMILE TRANSMISSIONI hereby certify that this correspondence is being facsimile transmitted  
to the U.S. Patent Office to Fax No. (571) 273-8300 on June 1, 2007

Signature

Print Name Lekha GopalakrishnanTRANSMITTAL LETTERCommissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted in connection with the above-referenced application are:

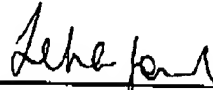
1. Response to Office Action dated December 1, 2006; and
2. Petition for Extension for Time

It is believed that no additional fees (aside from the fees for extension of time) are due at this time. However, if any additional fees are due, the Commissioner is authorized to charge any required fee in connection with this paper to our Deposit Account No. 50-0310 (064385-5030).

Appl. No. 09/381,243  
Filing Date: January 21, 2000

Respectfully submitted,

Date: June 1, 2007



---

Lekha Gopalakrishnan, Ph.D. (Reg. No. 46,733)

Customer No.: 09629

Morgan, Lewis & Bockius LLP  
1717 Main Street, Suite 3200  
Dallas, Texas 75201-7347  
214.466.4000